# PCT

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

H04L 9/00, 12/56

(11) International Publication Number:

WO 97/00471

(43) International Publication Date:

3 January 1997 (03.01.97)

(21) International Application Number:

PCT/IL96/00017

**A3** 

IL

(22) International Filing Date:

16 June 1996 (16.06.96)

(30) Priority Data:

114182

15 June 1995 (15.06.95)

(74) Agent: A. TALLY EITAN-ZEEV PEARL & CO.; Lumir House, 22 Maskit Street, 46733 Herzlia (IL).

(60) Parent Application or Grant (63) Related by Continuation

US Filed on

08/168,041 (CIP)

15 December 1993 (15.12.93)

(71) Applicant (for all designated States except US): CHECK POINT SOFTWARE TECHNOLOGIES LTD. [IL/IL]; Hateomim Building 2, 35 Jabotinsky Street, 52511 Ramat Gan (IL).

(72) Inventors; and

(75) Inventors/Applicants (for US only): SHWED, Gil [IL/IL]; 21
Harechesh Street, 69699 Tel Aviv (IL). KRAMER, Shlomo
[IL/IL]; 36 Harav Kuk Street, 63302 Tel Aviv (IL). ZUK,
Nir [IL/IL]; 2 Zvi Street, 52504 Ramat Gan (IL). DOGON,
Gil [IL/IL]; 78 Hakidma Street, 46743 Hertzlia (IL). BENREUVEN, Ehud [IL/IL]; 11 Arba Aratzot Street, 62486 Tel
Aviv (IL).

(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI,

CM, GA, GN, ML, MR, NE, SN, TD, TG).

### **Published**

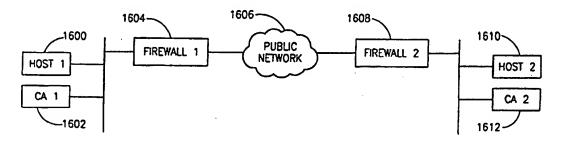
amendments.

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of

(88) Date of publication of the international search report:
6 March 1997 (06.03.97)

(54) Title: A SYSTEM FOR SECURING THE FLOW OF AND SELECTIVELY MODIFYING PACKETS IN A COMPUTER NETWORK



## (57) Abstract

A novel system for controlling the inbound and outbound data packet flow in a computer network by which private networks can be secured from outside attacks. A user generates a rule base (400) which is converted into a set of filter language instructions where each rule includes a source, destination, service, whether to accept or reject the packet and whether to log the event. The filter language instructions are executed on inspection engines (204) on computers acting as firewalls (124) positioned in the network such that all traffic is forced to pass through the firewall. Packets are filtered in accordance with the rule base. The inspection engine acts as a virtual packet filter machine (600) determining whether to accept or reject a packet. If a packet is rejected, it is dropped, and if accepted may be modified. Modifications, performed in accordance with the rule base, may include encryption, decryption, signature generation or verification, or address translation.

BEST AVAILABLE COPY

# BEST AVAILABLE COPY

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia ·	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia /	UZ	Uzbekistan
GA	Gabon	MR	Mongolia Mauritania	VN	Viet Nam

# INTERNATIONAL SEARCH REPORT

International application No. PCT/IL96/00017

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :H04L 9/00, 12/56								
US CL	:395/187.01	t i tite i ima						
	to International Patent Classification (IPC) or to both	h national classification and IPC						
	documentation searched (classification system follower	ed by classification symbols)						
U.S. :	395/187.01, 200.01, 200.06, 200.09, 200.11, 200.1	7, 200.18; 370/60, 94.1						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
Electronic	data base consulted during the international search (n	name of data base and, where practicable	, search terms used)					
APS								
C. DOO	CUMENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.					
Υ	US 5,247,693 (BRISTOL) 21 Ser 43-col. 5, line 33.	otember 1993, col. 4, line	1-25					
Y, E	US 5,555,346 (GROSS et al.) 10 line 37-col.3, line 21.	1-25						
Y, P	US 5,515,376 (MURTHY et al.) ( 31-col. 3, line 23.	07 May 1996, col. 2, line	2-5, 10-13, 19- 22					
Y, P	US 5,473,607 (HAUSMAN et al.) ( line 36-col. 4, line 20.	14-16						
Y	US 5,329,623 (SMITH et al.) 12 col. 3, line 25.	8, 17, 23, 25						
А, Р	US 5,485,455 (DOBBINS et al.) line 66-col. 6, line 25.	16 January 1996, col. 2,	1, 9, 18, 24					
Further documents are listed in the continuation of Box C. See patent family annex.								
Special categories of cited documenta:  "T" later document published after the international filing date or prioris date and not in conflict with the application but cited to understand the document defining the general state of the art which is not considered.								
to 1	document defining the general state of the art which is not considered to be part of particular relevance  *X* document of particular relevance; the claimed invention cannot be							
'L' do	dier document published on or after the international filing date comment which may throw doubts on priority claim(s) or which is	considered novel or cannot be conside when the document is taken alone						
ape	ed to establish the publication date of another citation or other citation of other citation (as specified)  comment referring to an oral disclosure, use, exhibition or other	"Y" document of particular relevance; the considered to involve an inventive combined with one or more other nucl	step when the document is					
"P" doc	ocument referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination being obvious to a person skilled in the art ocument published prior to the international filing date but later than "&" document member of the same patent family							
Date of the	urch report							
	MBER 1996	3 1 JAN 1997	·					
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box FCT		Authorized officer STEPHEN C. ELMORE						
Washington, D.C. 20231 Facsimile No. (703) 305-3230		Telephone No. (703) 305-0713						

# BEST AVAILABLE COPY

# INTERNATIONAL SEARCH REPORT

International application No. PCT/IL96/00017

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)	
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:	
2. Claims Nos.: 26 (2nd Clm numbered 12) because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  The claim is indefinite in that it fails to point out what is included or excluded by the claim language. This claim is an omnibus type claim.	
3. X Claims Nos.: 26 (2nd Clm numbered 12) because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).	
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)	
This International Searching Authority found multiple inventions in this international application, as follows:	
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchab claims.	ŀle
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payme of any additional fee.	nt
3. As only some of the required additional search fees were timely paid by the applicant, this international search report cover only those claims for which fees were paid, specifically claims Nos.:	rs
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	is
Remark n Protest	
No protest accompanied the payment of additional search fees.	